

Sept. 2009

I left the private practice of law in North Carolina in 1998 to go in-house with a client, First Union Corporation. I've always been with the brokerage side of company. Now of course Wells Fargo bought Wachovia Corporation in late September last year. My business unit [ the various Wachovia Securities entities] was the first to be renamed as Wells Fargo Advisors. Our unit is actually headquartered in St. Louis now with the acquisition of A.G. Edwards in 2007, but for now they have agreed to let me stay in Raleigh in our Mid-Atlantic Regional Office. The last 10 months have seen some radical changes in our industry. Who knows what the next year may bring.

In any event, sorry I will not see you in September, but I hope everyone has a great time.

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